

Research



Cite this article: Gower AL, Ciarletta P, Destrade M. 2015 Initial stress symmetry and its applications in elasticity. *Proc. R. Soc. A* **471**: 20150448.
<http://dx.doi.org/10.1098/rspa.2015.0448>

Received: 30 June 2015

Accepted: 1 October 2015

Subject Areas:

biomechanics, mechanics, applied mathematics

Keywords:

residual stress, initial stress, biomechanics, elasticity, constitutive equations

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An initial stress within a solid can arise to support external loads or from processes such as thermal expansion in inert matter or growth and remodelling in living materials. For this reason, it is useful to develop a mechanical framework of initially stressed solids irrespective of how this stress formed. An ideal way to do this is to write the free energy density Ψ in terms of initial stress τ and the elastic deformation gradient F , so we write $\Psi = \Psi(F, \tau)$. In this paper, we present a new constitutive condition for initially stressed materials, which we call the initial stress symmetry (ISS). We focus on two consequences of this condition. First, we examine how ISS restricts the possible choices of free energy densities $\Psi = \Psi(F, \tau)$ and present two examples of Ψ that satisfy the ISS. Second, we show that the initial stress can be derived from the Cauchy stress and the elastic deformation gradient. To illustrate, we take an example from biomechanics and calculate the optimal Cauchy stress within an artery subjected to internal pressure. We then use ISS to derive the optimal target residual stress for the material to achieve after remodelling, which links nicely with the notion of homeostasis.

1. Introduction

When all loads are removed, a body can still hold a significant amount of internal stress, called the *residual stress*. In manufacturing, residual stress has long been

Electronic supplementary material is available at <http://dx.doi.org/10.1098/rspa.2015.0448> or via <http://rspa.royalsocietypublishing.org>.

noted to be detrimental to, or enhance, the performance of a material. For biological tissues, residual stress is used to self-regulate stress and strain, and ultimately preserve ideal mechanical conditions for the tissue [1,2]. In geophysics, due to gravity and active tectonics, the Earth has developed extremely high initial stresses within its crust, which greatly influence the propagation of seismic waves.

Here, we use the term *initial stress* to broadly mean the internal stress of some reference configuration, irrespective of how the stress was formed or the boundary conditions. In this sense, residual stress is a type of initial stress.

The initial stress felt by any region of a material is due to the push and pull of the surrounding regions. If any region were to be cut out from the material, the stress on its newly formed boundary would be zero, thus reducing the potential energy in the bulk. Based on this concept, Hoger developed constitutive laws for residually stressed materials (e.g. [3–5]). Hoger showed that by taking this idea to its limit and cutting the material into possibly an infinite number of disconnected regions, the material may be relieved of all of its internal stress in a configuration called the *virtual stress-free state*. From that configuration, a hyperelastic energy can be defined as a function of the strain from the virtual state to the current configuration.

Though the use of this virtual state is technically sound, it leads to challenging calculations even for simple deformations and rarely yields analytic results, unless great simplifications are assumed. Moreover, the experimental identification of the virtual state requires cutting the material, which is not always suitable, especially for living organisms. However, using a virtual stress-free reference is routinely seen as the only viable option; quoting Chuong & Fung [6]: ‘To characterize the arterial wall or any other biological soft tissue, we need a stress-free state’. Conversely, we believe that by developing tools to work directly with initially stressed reference configurations, without the need for a stress-free state, will be very useful, especially in biomechanics.

An ideal way to account for the initial stress would be to have a free energy density function $\Psi = \Psi(F, \tau)$ written explicitly in terms of the deformation gradient F and the initial stress τ , without any *a priori* restrictions. For the development of constitutive laws, there is no need to distinguish between residual stresses and initial stresses, a view which is shared with Merodio *et al.* [7]. The initial stress τ could then be determined from elastic wave speeds [8–10] or by solving the linear equations of momentum balance.

Having the free energy in the form $\Psi(F, \tau)$ has been used to investigate how residual stress affects elastic deformations [7,11] and for a mass-growth framework [12]. Wang *et al.* [13] found that including one residual stress invariant in the free energy density was a simple way to model the effects of residual stress in the myocardium. Shams *et al.* [14,15] made progress towards a general framework for initially stressed solids. However, a major obstacle still remains: how to write $\Psi(F, \tau)$ explicitly in terms of the combined invariants of F and τ ? (Even when the source of anisotropy is only due to the initial stress, the free energy still depends on 10 independent invariants [14].)

Johnson & Hoger [5] developed representations for the Cauchy stress response $\sigma = \hat{\zeta}(F, \tau)$ in terms of F and τ by first assuming a stress-free virtual state and then inverting numerically the residual stress–strain equation. However, this approach often requires solving numerical non-linear implicit equations. In [5,16] it was applied to a material with virtual state composed by a Mooney–Rivlin strain energy.

In this work, we introduce a new constitutive requirement on $\Psi(F, \tau)$ called the initial stress symmetry (ISS). ISS restricts the constitutive form of the stress $\sigma = \hat{\zeta}(F, \tau)$ by providing a constitutive equation for the initial stress $\tau = \hat{\zeta}(F^{-1}, \sigma)$ that must hold for every F and τ . To our knowledge, this symmetry has never been discussed before.

The ISS also helps answer an important question: how much can the Cauchy stress be altered by adjusting the initial stress? From a modelling perspective, initial stress has been used to make the material more or less compliant [16], to control the Poynting effect [7] or to maintain an ideal internal stress [1]. Given a $\Psi(F, \tau)$ that satisfies ISS, then $\tau = \hat{\zeta}(F^{-1}, \sigma)$ suggests that, for any choice of σ , there will exist an initial stress τ that supports σ .

The basic equations for an elastic material subject to initial stress are summarized in §2, and then the ISS is presented. ISS is satisfied automatically if the initial stress is due to an elastic deformation of a stress-free configuration, which we demonstrate in §2b. For this reason, we develop in §3 an example for $\Psi(F, \tau)$ that satisfies ISS, by deforming an incompressible neo-Hookean material from a stress-free virtual state.

In §4, we express ISS, in all generality, as nine scalar equations for an incompressible material, written in terms of Ψ , two undetermined scalars p and p_τ , and the invariants of F and τ . This form of ISS makes it easier to select appropriate representations for $\Psi(F, \tau)$. For example, we show, with a minor adjustment to the equations, how to use the scalar equations of ISS to deduce an example of $\Psi(F, \tau)$ for a compressible material.

It is commonly thought that arteries attempt to maintain a homogeneous stress gradient within their walls [17]. In §5, we calculate this optimal Cauchy stress for a simplified arterial wall model, and then show how by using ISS we can calculate the residual stress that exactly supports this optimal Cauchy stress. We finally compare these results against those of the commonly used opening-angle method [6].

2. Initially stressed elastic materials

A common approach to model the effect of *residual stress* is to consider a *virtual stress-free configuration* $\mathring{\mathcal{B}}$, from which the material is deformed and ‘glued’ together to produce a residually stressed equilibrium state $\mathring{\mathcal{B}}$. We will use this approach to model an initially stressed material. An elastic stored energy density Ψ can then be defined as a function of the *deformation gradient* \mathring{F} from $\mathring{\mathcal{B}}$ to the current configuration \mathcal{B} so that $\psi = \Psi(\mathring{F})$. See figure 1 for a diagram of all the configurations.

In this paper, we write the free energy density Ψ as a function of the initial stress τ and of the deformation gradient $F: \mathring{\mathcal{B}} \rightarrow \mathcal{B}$, so that $\psi = \Psi(F, \tau)$. Note that $\mathring{\mathcal{B}}$ is not necessarily an unloaded configuration. We argue that it is natural to consider that initial stress contributes to the potential energy stored by a material. One extreme example is the Wapa tree, which has been known to burst open once cut, possibly causing injury, due to its immense level of residual stress [18] (figure 2).

We assume that F is a purely elastic deformation, but we do not make any assumptions about the origins of the initial stress τ , except that τ affects the stored energy density Ψ . Assuming that the body is incompressible, i.e. $J \equiv \det F = 1$ at all times, the Cauchy stress tensor σ reads [19]:

$$\sigma = F \frac{\partial \Psi}{\partial F}(F, \tau) - pI, \quad (2.1)$$

where p is the Lagrange multiplier associated with the constraint of incompressibility and I is the identity. If the material is compressible, then p is replaced by $-2I_3 \partial \Psi / \partial I_3$, which completely expresses the dependency of Ψ on I_3 ; for clarity see equation (2.6). Note that we have and will omit the possible dependence of Ψ on the position $X \in \mathring{\mathcal{B}}$ for the sake of simplicity. For the body in the configuration $\mathring{\mathcal{B}}$, we have $F = I$ and $\sigma = \tau$; thus, we require that

$$\tau = \frac{\partial \Psi}{\partial F}(I, \tau) - \mathring{p}I, \quad (2.2)$$

where \mathring{p} is the value of p when $F = I$. We call the above equation the *initial stress compatibility*.

The presence of initial stress generally leads to an anisotropic response of the material in reference to $\mathring{\mathcal{B}}$. Here, we assume no other source of intrinsic anisotropy so that Ψ can be written as a function of all the independent invariants generated by τ and $C = F^T F$, the right Cauchy–Green

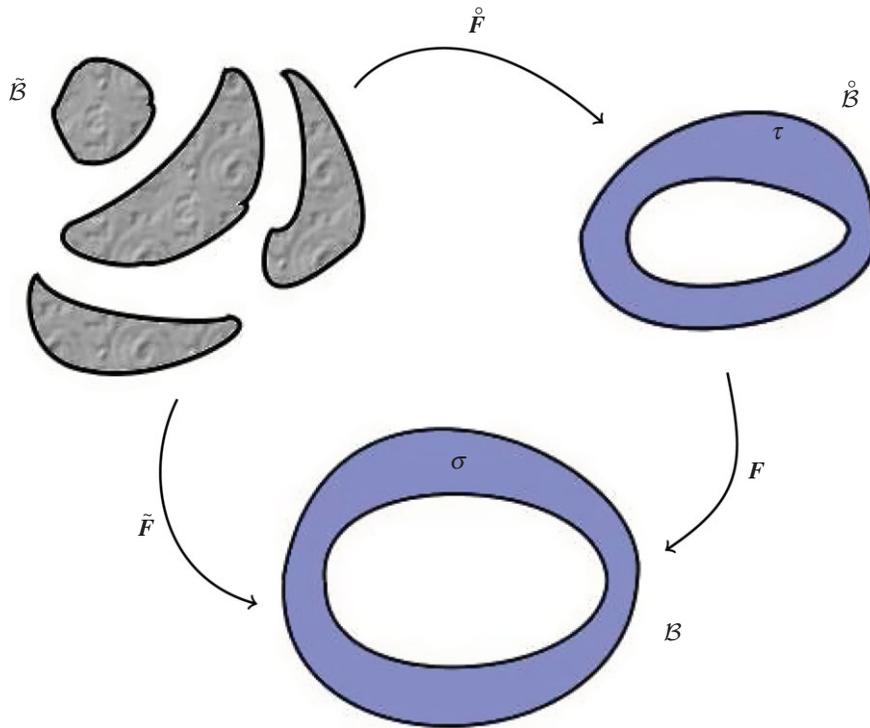


Figure 1. The configuration \mathcal{B} is the current configuration with internal stress σ , while the configuration $\overset{\circ}{\mathcal{B}}$ is a reference configuration with internal stress τ . The virtual stress-free state $\tilde{\mathcal{B}}$ is a collection of configurations where the body is stress-free. (Online version in colour.)



Figure 2. An intact Wapa tree is under extremely high levels of residual stresses that help to maintain its ideal mechanical conditions. When the Wapa tree is cut, the release of residual stress can cause the tree to burst apart. (Image source: <http://www.fao.org>.)

deformation tensor. Following Shams *et al.* [15], we take the following complete set of 10 independent invariants:

$$I_1 = \text{tr } C, \quad I_2 = \frac{1}{2}[I_1^2 - \text{tr}(C^2)], \quad I_3 = \det C, \quad (2.3)$$

$$I_{\tau_1} = \text{tr } \tau, \quad I_{\tau_2} = \frac{1}{2}[I_{\tau_1}^2 - \text{tr}(\tau^2)], \quad I_{\tau_3} = \det \tau, \quad (2.4)$$

and
$$J_1 = \text{tr}(\tau C), \quad J_2 = \text{tr}(\tau C^2), \quad J_3 = \text{tr}(\tau^2 C), \quad J_4 = \text{tr}(\tau^2 C^2). \quad (2.5)$$

The Cauchy stress equation (2.1) can then be written as [15]

$$\begin{aligned} \sigma = & 2\Psi_{I_1} \mathbf{B} + 2\Psi_{I_2} (I_1 \mathbf{B} - \mathbf{B}^2) - p\mathbf{I} \\ & + 2\Psi_{J_1} \mathbf{F} \boldsymbol{\tau} \mathbf{F}^T + 2\Psi_{J_2} \mathbf{F} (\boldsymbol{\tau} \mathbf{C} + \mathbf{C} \boldsymbol{\tau}) \mathbf{F}^T + 2\Psi_{J_3} \mathbf{F} \boldsymbol{\tau}^2 \mathbf{F}^T + 2\Psi_{J_4} \mathbf{F} (\boldsymbol{\tau}^2 \mathbf{C} + \mathbf{C} \boldsymbol{\tau}^2) \mathbf{F}^T, \end{aligned} \quad (2.6)$$

where $\mathbf{B} = \mathbf{F}\mathbf{F}^T$ is the left Cauchy–Green deformation tensor, and $\Psi_{I_1}, \Psi_{I_2}, \Psi_{J_1}, \Psi_{J_2}, \Psi_{J_3}, \Psi_{J_4}$ are the partial derivatives of Ψ with respect to $I_1, I_2, J_1, J_2, J_3, J_4$, respectively. There are no partial derivatives of Ψ with respect to I_{τ_1}, I_{τ_2} and I_{τ_3} appearing in (2.6) because $\boldsymbol{\tau}$ does not depend on \mathbf{F} .

The initial stress compatibility equation (2.2) becomes

$$2 \frac{\partial \Psi}{\partial I_1} + 4 \frac{\partial \Psi}{\partial I_2} - \overset{\circ}{p} = 0, \quad 2 \frac{\partial \Psi}{\partial J_1} + 4 \frac{\partial \Psi}{\partial J_2} = 1 \quad \text{and} \quad \frac{\partial \Psi}{\partial J_3} + 2 \frac{\partial \Psi}{\partial J_4} = 0. \quad (2.7)$$

Another important physical restriction that can be imposed is the *strong-ellipticity* condition, which is satisfied when the fourth-order tensor of instantaneous moduli

$$\mathcal{A}_{0piqj} = J^{-1} F_{p\alpha} F_{q\beta} \frac{\partial^2 \Psi}{\partial F_{i\alpha} \partial F_{j\beta}}$$

satisfies

$$\mathcal{A}_{0piqj} n_p n_q m_i m_j > 0 \quad \text{for every } \mathbf{n}, \mathbf{m} \in \mathbb{R}^3, \quad (2.8)$$

for compressible materials, while for incompressible materials the above need only hold when $\mathbf{n} \cdot \mathbf{m} = 0$. Imposing strong ellipticity implies that plane waves may propagate in every direction with a real valued speed [20], and other physically expected behaviour [21]. For a representation of \mathcal{A}_{0piqj} in terms of the invariants of $\boldsymbol{\tau}$ and \mathbf{F} , see [15].

The issue we address now is how to write Ψ explicitly in terms of the invariants (2.3)–(2.5)? We advocate three criteria. First, the free energy density Ψ should satisfy the initial stress compatibility (2.7). Second, it should satisfy strong ellipticity (2.8) for all deformations in which the material is expected to be stable [22], and we call the third criterion the ISS requirement.

(a) Initial stress symmetry

For convenience, let the response function $\hat{\zeta}$ be denoted by

$$\hat{\zeta}(\mathbf{F}, \boldsymbol{\tau}, p) := \mathbf{F} \frac{\partial \Psi}{\partial \mathbf{F}}(\mathbf{F}, \boldsymbol{\tau}) - p\mathbf{I}, \quad (2.9)$$

for every \mathbf{F} and $\boldsymbol{\tau}$ within the elastic domain of the material. The scalar p is arbitrary if the material is incompressible, and is $p = -2I_3\Psi_{I_3}$ if the material is compressible.

The ISS states that $\hat{\zeta}$ has no preferred reference configuration. Referring to figure 1, if we take $\overset{\circ}{\mathcal{B}}$ as the reference configuration, then the Cauchy stress becomes

$$\sigma = \hat{\zeta}(\mathbf{F}, \boldsymbol{\tau}, p). \quad (2.10)$$

However, we can also take \mathcal{B} as the reference configuration and $\overset{\circ}{\mathcal{B}}$ as the current configuration and therefore express the initial stress as

$$\boldsymbol{\tau} = \hat{\zeta}(\mathbf{F}^{-1}, \boldsymbol{\sigma}, p_{\tau}), \quad (2.11)$$

for some scalar p_{τ} . For a compressible material $p_{\tau} = -2I_3\Psi_{I_3}$, where \mathbf{F} is replaced with $\mathbf{F}^{-1}\boldsymbol{\tau}$. In a more precise form, ISS can be stated as

$$\sigma = \hat{\zeta}(\mathbf{F}, \boldsymbol{\tau}, p) \quad \text{and} \quad \boldsymbol{\tau} = \hat{\zeta}(\mathbf{F}^{-1}, \boldsymbol{\sigma}, p_{\tau}), \quad (2.12)$$

for every \mathbf{F} and $\boldsymbol{\tau}$, such that $\boldsymbol{\tau} = \boldsymbol{\tau}^T$ and $\det \mathbf{F} = 1$ for incompressible materials, and p and p_{τ} are, respectively, given by $p = \hat{p}(\boldsymbol{\sigma}, \mathbf{F}, \boldsymbol{\tau})$ and $p_{\tau} = \hat{p}(\boldsymbol{\tau}, \mathbf{F}^{-1}, \boldsymbol{\sigma})$ for some scalar function \hat{p} . The boundary conditions can determine p through its dependence on $\boldsymbol{\sigma}$, and analogously for p_{τ} . The ISS agrees with the initial stress compatibility, i.e. the condition (2.2), when we have $\hat{\zeta}(\mathbf{I}, \boldsymbol{\tau}, p) = \boldsymbol{\tau}$ for every $\boldsymbol{\tau}$.

Another way to view the ISS requirement is to assume that τ and σ are due to the elastic deformation of a virtual stress-free state, which we demonstrate in §2b. Hoger emphasized many times that using the virtual stress-free state does not restrict how the residual stress was formed. The same can be said about ISS, as only F corresponds to an elastic deformation. Moreover, the ISS is not restricted to elasticity and should hold, under suitable modifications, for other constitutive equations such as those encountered in viscoelasticity.

One practical outcome from the ISS is to restrict the possible constitutive choices for $\hat{\zeta}$. For instance, in §4, we show that the choice $\Psi = \frac{1}{2}\mu(I_1 - 3) + \frac{1}{2}(J_1 - I_{r_1})$ proposed in [7] does not satisfy ISS.

A second practical feature arising from ISS is that we can write the initial stress as a function of the Cauchy stress (2.11). This proves useful when σ is known *a priori*, as will be discussed in §5, where we determine σ from the principle of *homeostasis* and then use equation (2.11) to derive τ . The alternative of choosing the initial stress τ first can be far more complicated.

Before developing further the implications of ISS in §4, we introduce in the next section an example of stored energy $\Psi(F, \tau)$ that satisfies ISS, stress compatibility (2.2) and strong ellipticity (2.8).

(b) Stress-free configuration implies initial stress symmetry

Referring to figure 1, the virtual stress-free configuration guarantees that, for any τ and F , there exists an $\overset{\circ}{B}$ such that

$$\sigma = \hat{\vartheta}(\overset{\circ}{B}, p) \quad \text{and} \quad \tau = \hat{\vartheta}(\overset{\circ}{B}, \overset{\circ}{p}), \quad (2.13)$$

where $\overset{\circ}{B} = F^{-1}\tilde{B}F^{-T}$; p and $\overset{\circ}{p}$ are scalar fields where p depends on the boundary conditions on B and \tilde{B} , while $\overset{\circ}{p}$ depends on the boundary conditions on $\overset{\circ}{B}$ and $\overset{\circ}{B}$; and

$$\hat{\vartheta}(FF^T, p) := F \frac{\partial \Psi}{\partial (F^T F)} F^T - pI.$$

Note that by setting $\tau = 0$ in equation (2.6), we see that the right side depends only on FF^T and p .

Johnson & Hoger [5] demonstrated that it is possible to determine $\overset{\circ}{B}$ from any given τ , together with appropriate boundary conditions on $\overset{\circ}{B}$, in order to reach useful constitutive equations such as

$$\hat{\vartheta}(FBF^T, p) = \hat{\zeta}(F, \tau, p) \quad \text{for any } F \text{ and } \overset{\circ}{B}. \quad (2.14)$$

As equation (2.13)₂ is valid for any F and $\overset{\circ}{B}$, we can substitute

$$F \text{ for } F^{-1} \quad \text{and} \quad \overset{\circ}{B} \text{ for } \tilde{B} \quad (2.15)$$

and swap the boundary conditions on B and $\overset{\circ}{B}$ (these substitutions effectively swap B for $\overset{\circ}{B}$), so that equation (2.13)₂ becomes $\sigma = \hat{\vartheta}(\tilde{B}, p)$, where we have assumed that the above together with the boundary conditions on B has σ as the unique solution. Analogously, equation (2.13)₁ becomes $\tau = \hat{\vartheta}(\overset{\circ}{B}, \overset{\circ}{p})$.

This means that, when we make the substitutions (2.15) in equation (2.14), we should also swap τ and σ , so that

$$\hat{\vartheta}(\overset{\circ}{B}, \overset{\circ}{p}) = \hat{\zeta}(F^{-1}, \sigma, \overset{\circ}{p}). \quad (2.16)$$

The left-hand side is simply τ and the right-hand side is τ given by ISS (2.12). Finally, the above condition is identically true, since this result is valid for every F and $\overset{\circ}{B}$. Moreover, we assumed that $\overset{\circ}{B}$ can be determined from τ , so equation (2.16) holds for every F and τ .

3. Initially stressed neo-Hookean material

Here, we derive a simple constitutive equation for an initially stressed body by assuming that both $\boldsymbol{\tau}$ and $\boldsymbol{\sigma}$ arise from deforming an incompressible neo-Hookean material. The result will be an explicit representation of Ψ in terms of \mathbf{F} and $\boldsymbol{\tau}$, given by equation (3.11) below, that automatically satisfies ISS, the stress compatibility (2.7) and strong ellipticity (2.8). Both ISS and stress compatibility hold because $\boldsymbol{\tau}$ arises due to an elastic deformation from a stress-free state (see §2b), and strong ellipticity is satisfied because the material is a neo-Hookean solid [23].

Referring to figure 1, as the material is stress-free in $\tilde{\mathcal{B}}$, we have

$$\Psi = \frac{\mu}{2}(\text{tr } \tilde{\mathbf{C}} - 3), \quad (3.1)$$

where $\mu > 0$ is the constant shear modulus and $\tilde{\mathbf{C}} = \tilde{\mathbf{F}}^T \tilde{\mathbf{F}}$. The Cauchy stress (2.1) then becomes

$$\boldsymbol{\sigma} = \mu \tilde{\mathbf{F}} \tilde{\mathbf{F}}^T - p \mathbf{I}. \quad (3.2)$$

We can rewrite $\text{tr } \tilde{\mathbf{C}}$ by substituting $\tilde{\mathbf{F}} = \mathring{\mathbf{F}} \mathring{\mathbf{F}}$ and using the properties of the trace

$$\text{tr } \tilde{\mathbf{C}} = \text{tr}(\tilde{\mathbf{F}}^T \tilde{\mathbf{F}}) = \text{tr}(\mathring{\mathbf{F}}^T \mathring{\mathbf{F}} \mathring{\mathbf{F}} \mathring{\mathbf{F}}) = \text{tr}(\mathring{\mathbf{F}} \mathring{\mathbf{F}}^T \mathring{\mathbf{F}} \mathring{\mathbf{F}}) = \text{tr}(\mathring{\mathbf{B}} \mathring{\mathbf{C}}),$$

where $\mathring{\mathbf{B}} = \mathring{\mathbf{F}} \mathring{\mathbf{F}}^T$ and $\mathring{\mathbf{C}} = \mathring{\mathbf{F}}^T \mathring{\mathbf{F}}$, which leads to

$$\Psi = \frac{\mu}{2}[\text{tr}(\mathring{\mathbf{B}} \mathring{\mathbf{C}}) - 3]. \quad (3.3)$$

We can write $\mathring{\mathbf{B}}$ in terms of $\boldsymbol{\tau}$ by evaluating equation (3.2) at $\mathbf{F} = \mathbf{I}$, $\boldsymbol{\sigma} = \boldsymbol{\tau}$, $p = \mathring{p}$ and rearranging as follows:

$$\mu \mathring{\mathbf{B}} = \boldsymbol{\tau} + \mathring{p} \mathbf{I}. \quad (3.4)$$

To write \mathring{p} as a function of $\boldsymbol{\tau}$, we require that the deformation $\mathring{\mathbf{F}}$ be isochoric, so that $\det(\mu \mathring{\mathbf{B}}) = \det(\boldsymbol{\tau} + \mathring{p} \mathbf{I}) = \mu^3$, which results in a cubic equation for \mathring{p} :

$$\mathring{p}^3 + \mathring{p}^2 I_{\tau_1} + \mathring{p} I_{\tau_2} + I_{\tau_3} - \mu^3 = 0. \quad (3.5)$$

The real roots for \mathring{p} are

$$\mathring{p} = \begin{cases} \frac{1}{3} \left[T_3 + \frac{T_1}{T_3} - I_{\tau_1} \right], & T_2 \leq T_1^{3/2}, \\ \frac{1}{3} \left[c_1 T_3 + c_1^* \frac{T_1}{T_3} - I_{\tau_1} \right], & -T_1^{3/2} \leq T_2, \\ \frac{1}{3} \left[c_1^* T_3 + c_1 \frac{T_1}{T_3} - I_{\tau_1} \right], & -T_1^{3/2} \leq T_2 \leq T_1^{3/2}, \end{cases} \quad (3.6)$$

where

$$T_1 = I_{\tau_1}^2 - 3I_{\tau_2}, \quad T_2 = I_{\tau_1}^3 - \frac{9}{2} I_{\tau_1} I_{\tau_2} + \frac{27}{2} (I_{\tau_3} - \mu^3), \quad (3.7)$$

$$T_3 = \sqrt[3]{T_2^2 - T_1^3} - T_2 \quad \text{and} \quad c_1 = -\frac{1}{2} + \frac{\sqrt{3}}{2} i, \quad (3.8)$$

while if $T_1 = 0$, then $\mathring{p} = \mu - I_{\tau_1}/3$. In terms of the eigenvalues τ_1 , τ_2 and τ_3 of $\boldsymbol{\tau}$, we can write

$$\left. \begin{aligned} T_2 &= -\frac{27}{2} \mu^3 + \frac{1}{2} [(\tau_1 - \tau_2) - (\tau_3 - \tau_1)][(\tau_2 - \tau_3) - (\tau_1 - \tau_2)][(\tau_3 - \tau_1) - (\tau_2 - \tau_3)] \\ \text{and} \quad T_1 &= \frac{1}{2}(\tau_1 - \tau_2)^2 + \frac{1}{2}(\tau_1 - \tau_3)^2 + \frac{1}{2}(\tau_2 - \tau_3)^2, \end{aligned} \right\} \quad (3.9)$$

so that, when $T_1 = 0$, we have that $\tau_1 = \tau_2 = \tau_3$. From the above, we see that $T_1 \geq 0$ and $T_1^{3/2} \geq |T_2 + \mu^{3/2} 27/2|$ for any¹ $\tau_1, \tau_2, \tau_3 \in \mathbb{R}$. So if $T_2 < 0$, then clearly $T_2 < T_1^{3/2}$, else if $T_2 \geq 0$ then $T_2 < |T_2 + \mu^{3/2} 27/2| \leq T_1^{3/2}$. Therefore $T_2 < T_1^{3/2}$, and so the condition for the first case for $\overset{\circ}{p}$ in equation (3.6) is always satisfied. We discard the second and third case for $\overset{\circ}{p}$ because we expect Ψ , and therefore $\overset{\circ}{p}$, to be continuous for every $\tau \in \mathbb{R}^3$. When $\tau_1 = \tau_2 = \tau_3$, the only viable solution for $\overset{\circ}{p}$ is (3.6)₁. If τ moves into a region where (3.6)₂ or (3.6)₃ becomes real, then $\overset{\circ}{p}$ cannot change from (3.6)₁ to (3.6)₂ or (3.6)₃ because it can be shown that (3.6)₁ does not equal (3.6)₂ or (3.6)₃ for any $\tau \in \mathbb{R}^3$.

To represent $\text{tr}(\overset{\circ}{B}C)$ in terms of the invariants of τ and C , we multiply each side of equation (3.4) on the right with C and take the trace to get

$$\mu \text{tr}(\overset{\circ}{B}C) = \text{tr}(\tau C) + \overset{\circ}{p} \text{tr} C, \quad (3.10)$$

which we use to write the free energy density equation (3.3) as

$$\Psi = \frac{1}{2}(\overset{\circ}{p}I_1 + J_1 - 3\mu), \quad (3.11)$$

with $\overset{\circ}{p}$ given by equation (3.6)₁. Note that in the absence of initial stress $\tau = \mathbf{0}$, $\overset{\circ}{p} = \mu$ by (3.5), $J_1 = I_1$, and then Ψ reduces to the classical neo-Hookean model, as expected. Equation (3.11) represents the general extension of the neo-Hookean strain energy function to an initially stressed material, resulting in a function of only five of the nine independent invariants of C and τ .

Substituting (3.11) in the Cauchy stress equation (2.1), we arrive at the constitutive relation

$$\sigma = \overset{\circ}{p}B + F\tau F^T - pI. \quad (3.12)$$

Taking B as the reference configuration, $\overset{\circ}{B}$ as the current configuration and leaving \tilde{B} as the stress-free configuration (figure 1), the initial stress becomes σ , the Cauchy stress becomes τ and equation (3.12) becomes

$$\tau = \overset{\circ}{p}_\tau C^{-1} + F^{-1}\sigma F^{-T} - p_\tau I, \quad (3.13)$$

where $\overset{\circ}{p}_\tau$ is given by replacing τ for σ in equation (3.6)₁, and p_τ is an undetermined scalar.

Substituting (3.12) in (3.13), we find the connection

$$(\overset{\circ}{p}_\tau - p)C^{-1} = (p_\tau - \overset{\circ}{p})I. \quad (3.14)$$

As this equation must hold for every C , we conclude that

$$\overset{\circ}{p}_\tau = p \quad \text{and} \quad p_\tau = \overset{\circ}{p}. \quad (3.15)$$

Note that, as is expected of a neo-Hookean material, the above equations do not determine p in terms of τ or F .

(a) Plane strain

The free energy density (3.11) is simplified when the initial strain has only planar components. Accordingly, let us assume $\overset{\circ}{B}_{13} = \overset{\circ}{B}_{23} = 0$ and $\overset{\circ}{B}_{33} = 1$, which substituted in equation (3.4) results in $\tau_{13} = \tau_{23} = 0$ and $\tau_{33} = \mu - \overset{\circ}{p}$. We let $B_P, C_P, \overset{\circ}{B}_P, I_P, \sigma_P$ and τ_P be $B, C, \overset{\circ}{B}, I, \sigma$ and τ restricted to the (x_1, x_2) plane, respectively. From equation (3.4), we get

$$\mu \overset{\circ}{B}_P = \tau_P + \overset{\circ}{p}I_P. \quad (3.16)$$

¹See <http://math.stackexchange.com/questions/1255883/prove-that-a2b2c2-geq-2a-b2b-c2a-c21-3/1255907#1255907>.

To obtain $\overset{\circ}{p}$ in terms of τ_P , we take the determinant of each side of equation (3.16), giving

$$\det(\mu \overset{\circ}{B}_P) = \det(\tau_P + \overset{\circ}{p} \mathbf{I}_P), \quad \text{so that } \mu^2 = \overset{\circ}{p}^2 + \overset{\circ}{p} \text{tr } \tau_P + \det \tau_P, \quad (3.17)$$

where we used $\det(\mu \overset{\circ}{B}) = \mu^2$. We solve the above for $\overset{\circ}{p}$ to get

$$\overset{\circ}{p}_{\pm} = -\frac{1}{2} \text{tr } \tau \pm \frac{1}{2} \sqrt{-4 \det \tau + (\text{tr } \tau)^2 + 4\mu^2}, \quad (3.18)$$

where $-4 \det \tau + (\text{tr } \tau)^2 + 4\mu^2 = (\tau_1 - \tau_2)^2 + 4\mu^2$ in terms of the eigenvalues of τ . The solution $\overset{\circ}{p} = \overset{\circ}{p}_-$ should be discarded because $\overset{\circ}{p}_- = -\mu$ when $\tau_P = \mathbf{0}$, while equation (3.16) shows that $\overset{\circ}{p}$ should be positive when $\tau_P = \mathbf{0}$. So for $\tau_P = \mathbf{0}$, the only viable solution is $\overset{\circ}{p} = \overset{\circ}{p}_+$. Further, as we expect $\overset{\circ}{p}$ to be continuous in τ_P for every $\tau_P \in \mathbb{R}^2$, and $\overset{\circ}{p}_- \neq \overset{\circ}{p}_+$ for every τ_P , we should completely discard the solution $\overset{\circ}{p}_-$.

For simplicity, we assume $C_{32} = C_{31} = 0$; then equation (3.3) reads

$$\Psi = \frac{1}{2} \mu (\text{tr}(\overset{\circ}{B}_P C_P) - 2) + \frac{1}{2} \mu (C_{33} - 1), \quad (3.19)$$

and substituting $\overset{\circ}{B}_P$ from equation (3.16), we get

$$\Psi = \frac{1}{2} \text{tr}(\tau_P C_P) + \frac{1}{2} \overset{\circ}{p} \text{tr } C_P - \mu + \frac{1}{2} \mu (C_{33} - 1), \quad (3.20)$$

where $\overset{\circ}{p} = \overset{\circ}{p}_+$ given by equation (3.18). The Cauchy stress equation (2.1) becomes

$$\sigma_P = \overset{\circ}{p} \overset{\circ}{B}_P - p \mathbf{I}_P + F_P \tau_P F_P^T, \quad (3.21)$$

and $\sigma_{33} = \overset{\circ}{p} - p + \tau_{33} = \mu - p$, where we have used that $\tau_{33} = \mu - \overset{\circ}{p}$. For $F = \mathbf{I}$, we have $\sigma = \tau$ and $\overset{\circ}{p} = p$.

4. The initial stress symmetry equations

In this section, we show how to express the ISS condition (2.12) as a set of scalar equations that relates the free energy density Ψ ; p and p_τ from equation (2.12); and the invariants of τ and C .

Let us first consider a simple example, namely assuming $\Psi = I_1 J_1 / 2$. One can check that this strain energy satisfies the compatibility equations (2.2) with $\overset{\circ}{p} = \text{tr } \tau$, but does it satisfy the ISS (2.12)? We can use the stress in the form (2.1) to write

$$\sigma = \hat{\zeta}(F, \tau, p) = \text{tr}(\tau C) B - p \mathbf{I} + \text{tr}(C) F \tau F^T, \quad (4.1)$$

and then from ISS we have that

$$\tau = \hat{\zeta}(F^{-1}, \sigma, p_\tau) = \text{tr}(\sigma B^{-1}) C^{-1} - p_\tau \mathbf{I} + \text{tr}(B^{-1}) F^{-1} \sigma F^{-T}. \quad (4.2)$$

To check that both equations (4.1) and (4.2) hold for every τ and F , we substitute σ into equation (4.2), which after some rearranging becomes

$$(1 - I_1 I_2) \tau = (3J_1 - 2pI_2 + I_1 I_{\tau 1}) C^{-1} + (I_2 J_1 - p_\tau) \mathbf{I}, \quad (4.3)$$

where we have used $\text{tr}(B^{-1}) = I_2$, which can be shown by applying the Cayley–Hamilton theorem to B with $I_3 = 1$ (due to incompressibility). In order to satisfy equation (4.3) for every F and τ , the coefficients of τ , C^{-1} and \mathbf{I} must all be identically zero (this is shown rigorously in the electronic supplementary material). For the coefficient of τ to be zero, the identity $I_1 I_2 = 1$ must hold for every F , which is obviously impossible: so we conclude that $\Psi = I_1 J_1 / 2$ does not correctly furnish the Cauchy stress for every reference configuration.

In the electronic supplementary material, we reduce the ISS to nine scalar equations, following a procedure similar to the one above. They are compactly written as

$$\mathbf{b} + \mathbf{P}^{(1)}\psi_{J_1}^\sigma + \mathbf{P}^{(2)}\psi_{J_2}^\sigma + \psi_{J_n}(\mathbf{P}^{(3)}\psi_{J_3}^\sigma + \mathbf{P}^{(4)}\psi_{J_4}^\sigma) = 0 \quad (4.4)$$

and

$$\psi_{J_n}(\mathbf{Q}_{\{n\}}^{(1)}\psi_{J_1}^\sigma + \mathbf{Q}_{\{n\}}^{(2)}\psi_{J_2}^\sigma + \mathbf{Q}_{\{n\}}^{(3)}\psi_{J_3}^\sigma + \mathbf{Q}_{\{n\}}^{(4)}\psi_{J_4}^\sigma) = 0, \quad (4.5)$$

where n sums over 0, 1, 2, 3, 4, $\psi_{J_0} := 1$,

$$\psi_{I_k} = \psi_{I_k}(\mathbf{F}, \boldsymbol{\tau}), \quad \psi_{J_m} = \psi_{J_m}(\mathbf{F}, \boldsymbol{\tau}), \quad (4.6)$$

and

$$\psi_{I_k}^\sigma := \psi_{I_k}(\mathbf{F}^{-1}, \boldsymbol{\sigma}), \quad \psi_{J_m}^\sigma := \psi_{J_m}(\mathbf{F}^{-1}, \boldsymbol{\sigma}), \quad (4.7)$$

for $k \in \{1, 2\}$ and $m \in \{1, 2, 3, 4\}$ with $\boldsymbol{\sigma}$ given by (2.6),

$$\mathbf{b} = \begin{bmatrix} 2\psi_{I_2}^\sigma \\ -2\psi_{I_1}^\sigma \\ p\tau \\ 0 \\ 0 \\ 1 \end{bmatrix}, \quad \mathbf{P}^{(1)} = 4 \begin{bmatrix} \psi_{I_2} \\ p/2 \\ -\psi_{I_1} \\ -2\psi_{J_2} \\ 2I_2\psi_{J_2} \\ -\psi_{J_1} - 2I_1\psi_{J_2} \end{bmatrix}, \quad \mathbf{P}^{(2)} = 4 \begin{bmatrix} p \\ I_2p - 2\psi_{I_1} - 2I_1\psi_{I_2} \\ 2\psi_{I_2} \\ 0 \\ -2\psi_{J_1} \\ -4\psi_{J_2} \end{bmatrix} \quad (4.8)$$

and

$$\mathbf{Q}_{\{1\}}^{(1)} = \mathbf{Q}_{\{2\}}^{(1)} = \mathbf{Q}_{\{1\}}^{(2)} = \mathbf{Q}_{\{2\}}^{(2)} = \mathbf{0}, \quad (4.9)$$

while all other matrices are given explicitly in the electronic supplementary material. Equations (4.4) and (4.5) represent six and three scalar equations, respectively, for the unknowns Ψ , p and $p\tau$.

We now look at special cases of Ψ which simplify the ISS equations and lead to more practical representations for the free energy density.

(a) Free energy independent of J_3 and J_4

When Ψ is independent of J_3 and J_4 , equation (4.5) is identically zero and only the first three terms of equation (4.4) are non-zero. The fourth scalar equation of (4.4) becomes $-8\psi_{J_2}\psi_{J_1}^\sigma = 0$, which is true, for every \mathbf{F} and $\boldsymbol{\tau}$, only when Ψ does not depend on J_2 or does not depend on J_1 . We investigate the first case in more detail below.

(i) Assuming Ψ independent of J_2, J_3 and J_4

If Ψ does not depend on J_2, J_3 and J_4 , then equation (4.4) reduces to

$$4\psi_{J_1}\psi_{J_1}^\sigma = 1, \quad \frac{\psi_{I_2}^\sigma}{\sqrt{\psi_{J_1}^\sigma}} + \frac{\psi_{I_2}}{\sqrt{\psi_{J_1}}} = 0, \quad p\psi_{J_1}^\sigma = \psi_{I_1}^\sigma \quad \text{and} \quad p\tau\psi_{J_1} = \psi_{I_1}, \quad (4.10)$$

where we have used equation (4.10)₁ to derive the other three equations. We can check if these equations are consistent with the compatibility equations (2.7) by letting $\mathbf{F} = \mathbf{I}$, $\boldsymbol{\sigma} = \boldsymbol{\tau}$ and $p\tau = p = \dot{p}$. This results in $\psi_{J_1} = \pm\frac{1}{2}$, $2\psi_{I_1} = \pm\dot{p}$ and $\psi_{I_2}(1 \pm 1) = 0$, which only satisfies equations (2.7) if $\psi_{J_1} = \frac{1}{2}$, $2\psi_{I_1} = \dot{p}$ and $\psi_{I_2} = 0$ for $\mathbf{F} = \mathbf{I}$.

Let us apply equations (4.10) to the following example:

$$\Psi = \frac{1}{2}\mu(I_1 - 3) + \frac{1}{2}(J_1 - I_{\tau_1}), \quad (4.11)$$

used by Merodio *et al.* [7]. In this case, equations (4.10)₁ and (4.10)₂ are satisfied while equations (4.10)₃ and (4.10)₄ become $p = p_\tau = \mu$. However, restricting p to be constant, when it is normally determined from boundary and/or initial conditions, would result in physically unexpected behaviour. This is best seen with an example.

Example 4.1. For the free energy density expressed in equation (4.11), the Cauchy stress from equation (2.6) becomes

$$\boldsymbol{\sigma} = \mu \mathbf{F}^T \mathbf{F} - p \mathbf{I} + \mathbf{F} \boldsymbol{\tau} \mathbf{F}^T. \quad (4.12)$$

Let us consider a cube in the reference configuration, subject to the initial stress $\tau_{ij} = \tau_i \delta_{ij}$, aligned with the axes of the cube. For the current configuration, we impose two clamped conditions that fix $F_{11} = \lambda_1$ and $F_{33} = \lambda_3$, then $F_{22} = \lambda_2 = (\lambda_1 \lambda_3)^{-1}$ due to incompressibility, with all the other components of \mathbf{F} being zero. With this imposed deformation, the Cauchy stress is diagonal with components $\sigma_{ij} = \delta_{ij} \sigma_i$. We can also prescribe the stress σ_2 , as this will not alter λ_1 and λ_3 because they are kept fixed. We choose $\sigma_2 = \tau_2$, to ensure compatibility with the reference configuration when $\lambda_1 = \lambda_3 = 1$, which results in

$$\tau_2 = \mu \lambda_2^2 - p + \lambda_2^2 \tau_2.$$

Clearly, it is not possible for p to satisfy the above and be fixed at $p = \mu$ so that the ISS is also satisfied. This means that the free energy density equation (4.11) either results in non-physical behaviour or does not satisfy the ISS, which implies that the constitutive relation (4.12) does not hold for every reference configuration.

The choice of Ψ should satisfy equations (4.10) without restricting the deformation \mathbf{F} , initial stress $\boldsymbol{\tau}$ or p , otherwise the material is likely to exhibit non-physical behaviour. In this respect, the free energy densities $W = \frac{1}{2} \mu (I_1 - 3) + \frac{1}{2} \bar{\mu} (J_1 - \text{tr } \boldsymbol{\tau})^2 + \frac{1}{2} (J_1 - \text{tr } \boldsymbol{\tau})^2$, equation (5.40) in [15], and $W = \frac{1}{2} \mu (I_1 - 3) + \frac{1}{4} (J_2 - \text{tr } \boldsymbol{\tau})^2$, equation (78) in [7], where μ and $\bar{\mu}$ are constants, are also likely to exhibit non-physical behaviour. Below we present a free energy density Ψ for a compressible material, inspired by the neo-Hookean example in §3, that satisfies ISS without any unphysical restrictions.

For a compressible material, we substitute p with $-2I_3 \Psi_{I_3}$ and p_τ with $-2I_3^{-1} \Psi_{I_3}^\sigma$ in the ISS equations (4.10). We will now establish under what conditions does the free energy density

$$\Psi = \frac{1}{2} g(\boldsymbol{\tau}, I_3) I_1 I_3^{-1/3} + \frac{1}{2} f(\boldsymbol{\tau}, I_3) + \frac{1}{2} J_1 I_3^{-1/3} \quad (4.13)$$

satisfy ISS (4.10) and the stress compatibility (2.7), where f and g are arbitrary scalar functions.

The corresponding Cauchy stress (2.6) is

$$\boldsymbol{\sigma} = g(\boldsymbol{\tau}, I_3) I_3^{-1/3} \mathbf{B} + 2I_3 \Psi_{I_3} \mathbf{I} + I_3^{-1/3} \mathbf{F} \boldsymbol{\tau} \mathbf{F}^T, \quad (4.14)$$

with

$$\Psi_{I_3} = \frac{1}{2} f_{I_3}(\boldsymbol{\tau}, I_3) + \frac{1}{2} g_{I_3}(\boldsymbol{\tau}, I_3) I_1 I_3^{-1/3} - \frac{1}{6} I_3^{-4/3} [g(\boldsymbol{\tau}, I_3) I_1 + J_1]. \quad (4.15)$$

The ISS equation (4.10)₁ is satisfied as $\Psi_{I_1} \Psi_{I_1}^\sigma = (\frac{1}{2}) I_3^{-1/3} (\frac{1}{2}) I_3^{1/3} = \frac{1}{4}$ and stress compatibility (2.7)₂ is satisfied as $2\Psi_{I_1} = 1$ when $\mathbf{F} = \mathbf{I}$. By substituting p for $-2I_3 \Psi_{I_3}$, the remaining compatibility equation (2.7)₁ becomes

$$g(\boldsymbol{\tau}, I_3) = -2\Psi_{I_3} \quad \text{evaluated at } \mathbf{F} = \mathbf{I}. \quad (4.16)$$

Equation (4.16) is satisfied if we set $f_{I_3}(\boldsymbol{\tau}, 1) = \text{tr } \boldsymbol{\tau} / 3 - 3g_{I_3}(\boldsymbol{\tau}, 1)$; then equation (4.16) and equation (4.14) together imply that $\boldsymbol{\sigma} = \boldsymbol{\tau}$ when $\mathbf{F} = \mathbf{I}$.

The ISS equations (4.10)₃ and (4.10)₄ now read, respectively,

$$-2I_3 \Psi_{I_3} = g(\boldsymbol{\sigma}, I_3^{-1}) \quad \text{and} \quad -2I_3^{-1} \Psi_{I_3}^\sigma = g(\boldsymbol{\tau}, I_3), \quad (4.17)$$

for every F and $\boldsymbol{\tau}$, where f_{I_3} and g_{I_3} are, respectively, the partial derivatives of f and g with respect to I_3 . Note that the above two equations are equivalent as equation (4.17)₁ becomes equation (4.17)₂ when we substitute F for F^{-1} and $\boldsymbol{\tau}$ for $\boldsymbol{\sigma}$. For this reason, it is sufficient to satisfy equation (4.17)₁. If $F = I$, then equation (4.17)₁ is satisfied as it reduces to equation (4.16). The rest of this section will focus on showing that equation (4.17)₁ is satisfied for every F and $\boldsymbol{\tau}$.

By rearranging equation (4.14) and taking the determinant on either side, we get

$$\det(\boldsymbol{\sigma} - 2I_3\Psi_{I_3}I) = \det(I_3^{-1/3}F\boldsymbol{\tau}F^T + g(\boldsymbol{\tau}, I_3)I_3^{-1/3}B) = I_3^{-1} \det B \det(\boldsymbol{\tau} + g(\boldsymbol{\tau}, I_3)I),$$

and by using incompressibility $\det B = 1$ we find that

$$I_3^{1/2} \det(\boldsymbol{\sigma} - 2I_3\Psi_{I_3}I) = I_3^{-1/2} \det(\boldsymbol{\tau} + g(\boldsymbol{\tau}, I_3)I). \quad (4.18)$$

If we choose $g(\boldsymbol{\tau}, I_3)$ such that

$$I_3^{-1/2} \det(\boldsymbol{\tau} + g(\boldsymbol{\tau}, I_3)I) = k, \quad (4.19)$$

where k is a constant, then the right-hand side of equation (4.18) is also equal to k , thus $\boldsymbol{\sigma}$ must satisfy

$$I_3^{1/2} \det(\boldsymbol{\sigma} - 2I_3\Psi_{I_3}I) = k. \quad (4.20)$$

By swapping $\boldsymbol{\tau}$ for $\boldsymbol{\sigma}$ and F for F^{-1} , equation (4.19) becomes

$$I_3^{1/2} \det(\boldsymbol{\sigma} + g(\boldsymbol{\sigma}, I_3^{-1})I) = k. \quad (4.21)$$

For $g(\boldsymbol{\tau}, I_3)$ to satisfy equation (4.19), there are three possible solutions given by replacing μ^3 with $kl_3^{1/2}$ and $g(\boldsymbol{\tau}, I_3)$ with $\overset{\circ}{p}$ in (3.6)₁, (3.6)₂ and (3.6)₃, which we, respectively, denote by $g_1(\boldsymbol{\tau}, I_3)$, $g_2(\boldsymbol{\tau}, I_3)$ and $g_3(\boldsymbol{\tau}, I_3)$. We highlighted in §3 that only $g(\boldsymbol{\tau}, I_3) = g_1(\boldsymbol{\tau}, I_3)$ is a physically viable solution. So if we choose $g(\boldsymbol{\tau}, I_3) = g_1(\boldsymbol{\tau}, I_3)$, then for equation (4.17)₁ to be satisfied, we need

$$g_1(\boldsymbol{\sigma}, I_3^{-1}) = -2I_3\Psi_{I_3} \quad \text{for every } F \text{ and } \boldsymbol{\tau}. \quad (4.22)$$

We will show that the above is a consequence of equations (4.20), (4.21) and stress compatibility (4.16). Due to equation (4.16), we know that, initially for $F = I$, equation (4.22) is true. We can also conclude from equations (4.20) and (4.21) that $-2I_3\Psi_{I_3}$ will equal either $g_1(\boldsymbol{\sigma}, I_3^{-1})$, $g_2(\boldsymbol{\sigma}, I_3^{-1})$ or $g_3(\boldsymbol{\sigma}, I_3^{-1})$ for every F . Since $-2I_3\Psi_{I_3}$ should be a continuous function of F and $\boldsymbol{\tau}$, it cannot change from $g_1(\boldsymbol{\sigma}, I_3^{-1})$ to another solution $g_k(\boldsymbol{\sigma}, I_3^{-1})$ because it can be shown that $g_k(\boldsymbol{\sigma}, I_3^{-1}) \neq g_1(\boldsymbol{\sigma}, I_3^{-1})$ for every $\boldsymbol{\sigma}$ and I_3 . For this reason, if $g_1(\boldsymbol{\tau}, 1) = -2\Psi_{I_3}$ for $F = I$, then $g_1(\boldsymbol{\sigma}, I_3^{-1}) = -2I_3\Psi_{I_3}$ for every F .

In conclusion, the model (4.13) satisfies ISS as long as $g(\boldsymbol{\tau}, I_3) = g_1(\boldsymbol{\tau}, I_3)$, where $g_1(\boldsymbol{\tau}, I_3)$ is given by replacing μ^3 with $kl_3^{1/2}$ in (3.6)₁.

5. Homogeneous stress gradient in a hollow cylinder

It is now well acknowledged that residual stresses in living materials are vital to maintain ideal mechanical conditions. When an external load changes, growth and remodelling alter the residual stress to best adapt to the new load. An excellent example is how arteries remodel in response to the internal pressure. The residual stress in arteries is thought to protect the arterial wall against strain concentration [24] or stress concentration [1,17]. Here, we adopt the most accepted hypothesis—that the residual stress in the artery acts to minimize the stress gradient [1,25]. The reasoning behind this hypothesis is that if a given tissue grows in response to stress, then homeostasis is only possible if the tissue is under similar stress conditions throughout. So by minimizing the stress gradient, we are selecting the most homogeneous stress possible. We will also consider a simplified artery with only one layer and no shear stress applied to the interior of the artery.

Our strategy is to first choose an optimal Cauchy stress field σ , and then to derive the residual stress from the ISS equation $\tau = \hat{\zeta}(F^{-1}, \sigma, p_\tau)$. So first we calculate the Cauchy stress with near homogeneous circumferential and radial components in §5b, then we find the residual stress that supports this optimal Cauchy stress in §5c. In §5b, we also show that the optimal Cauchy stress has a simple asymptotic formula.

We finally compare the results with the corresponding ones obtained using the opening angle method [6].

(a) Plane strain cylinder

To describe the arterial wall, we use cylindrical coordinates (r, θ, z) with the components of σ written in terms of unit basis vectors. We assume that the arterial wall retains its cylindrical symmetry when the internal pressure is removed and that there is no shear stress at the inner wall. These assumptions imply that, when a pressure is applied in the cylinder, the resulting deformation is an inflation.

Due to radial symmetry, the Cauchy stress σ is independent of θ and z , so that in the absence of body forces the equilibrium equations reduce to

$$\frac{\partial}{\partial r}(r^2\sigma_{\theta r}) = 0, \quad \frac{\partial}{\partial r}(r\sigma_{zr}) = 0 \quad (5.1)$$

and

$$\frac{\partial}{\partial r}(r\sigma_{rr}) - \sigma_{\theta\theta} = 0, \quad (5.2)$$

with the boundary conditions

$$\sigma_{\theta r} = 0, \quad \sigma_{zr} = 0, \quad \sigma_{rr} = -P, \quad \text{for } r = a, \quad (5.3)$$

and

$$\sigma_{\theta r} = 0, \quad \sigma_{zr} = 0, \quad \sigma_{rr} = 0, \quad \text{for } r = b, \quad (5.4)$$

where a and b are the inner and outer radius of the loaded artery, respectively. The equilibrium equations (5.1) together with the boundary conditions lead to $\sigma_{\theta r} = 0$ and $\sigma_{zr} = 0$ for all r . As neither $\sigma_{z\theta}$ nor σ_{zz} appear in the equations of equilibrium, they are only restricted by the constitutive choice and boundary conditions on the cross-section of the artery. We use this degree of freedom to assume there is no axial torsion $\sigma_{z\theta} = 0$. Note that, for the constitutive choice equation (2.6), τ_{ZZ} can be chosen so that σ_{zz} is constant, while for the simpler choice of plane strain equation (3.21) (with $\sigma_{zz} = \sigma_{33}$), σ_{zz} is determined by p . Finally, the remaining equation of equilibrium is equation (5.2).

(b) Minimal stress gradient

Here, we develop a method to minimize the stress gradient fields σ'_{rr} and $\sigma'_{\theta\theta}$, where the prime denotes differentiation with respect to r . We make no assumptions about any reference configuration nor do we make any constitutive choice. We only make use of the assumptions from the section above which result in both σ_{rr} and $\sigma_{\theta\theta}$ being independent of the coordinates θ and z ; $\sigma_{\theta r} = \sigma_{zr} = \sigma_{z\theta} = 0$; and, for simplicity, we will not consider σ_{zz} .

Once $\sigma_{\theta\theta}$ and σ_{rr} are determined, we use ISS equation (2.11) to write the residual stresses $\tau_{\theta\theta}$ and τ_{RR} in terms of $\sigma_{\theta\theta}$, σ_{rr} and the deformation gradient in §5c.

Using the equilibrium equation (5.2), we write $\sigma_{\theta\theta}$ in terms of σ_{rr} as

$$\sigma_{\theta\theta} = \sigma_{rr} + r\sigma'_{rr}, \quad \text{so that } \sigma'_{\theta\theta} = 2\sigma'_{rr} + r\sigma''_{rr}. \quad (5.5)$$

As aortas and veins are of many different sizes, for the sake of generality, let us introduce the following dimensionless variables:

$$\xi = \frac{r-a}{b-a} \quad \text{and} \quad \varphi(\xi) = \frac{\sigma_{rr}(r)}{P}, \quad (5.6)$$

which result in

$$\sigma'_{rr} = \varphi' \frac{P}{b-a}, \quad \sigma''_{rr} = \varphi'' \frac{P}{(b-a)^2} \quad (5.7)$$

and

$$\sigma'_{\theta\theta} = \frac{P}{b-a} (2\varphi' + (\xi + \alpha)\varphi''). \quad (5.8)$$

Our aim is to minimize the stress gradient density

$$\frac{1}{b-a} \int_a^b [(\sigma'_{rr})^2 + (\sigma'_{\theta\theta})^2] dr = \frac{P^2}{a^2} \alpha^2 \int_0^1 [(\varphi')^2 + (2\varphi' + (\xi + \alpha)\varphi'')^2] d\xi, \quad (5.9)$$

with the constraint

$$\varphi(1) = 0 \text{ and } \varphi(0) = -1, \quad \text{giving } \int_0^1 \varphi' d\xi = 1, \quad (5.10)$$

where we have introduced the quantity $\alpha \equiv a/(b-a)$.

Using calculus of variations [26], we find that φ' must satisfy the Euler–Lagrange equation

$$\frac{\partial f}{\partial \varphi'} - \frac{d}{d\xi} \frac{\partial f}{\partial \varphi''} = \Lambda \text{ for } \xi \in (0, 1) \quad \text{and} \quad \frac{\partial f}{\partial \varphi''} = 0 \text{ for } \xi = 0, 1, \quad (5.11)$$

where

$$f = (\varphi')^2 + (2\varphi' + (\xi + \alpha)\varphi'')^2, \quad (5.12)$$

and Λ is a Lagrange multiplier due to the constraint (5.10). The solution to (5.11) is given by

$$\begin{aligned} \varphi' = & \frac{\Lambda}{6} - \frac{\Lambda(\sqrt{13}-3)}{6} \frac{\alpha^{\sqrt{13}/2+1/2} - (1+\alpha)^{\sqrt{13}/2+1/2}}{\alpha^{\sqrt{13}} - (1+\alpha)^{\sqrt{13}}} (\alpha + \xi)^{\sqrt{13}/2+1/2} \\ & + \frac{2\Lambda}{3(\sqrt{13}-3)} \frac{\alpha^{\sqrt{13}/2-1/2} - (1+\alpha)^{\sqrt{13}/2-1(1/2)}}{\alpha^{\sqrt{13}} - (1+\alpha)^{\sqrt{13}}} \left(\frac{\alpha(1+\alpha)}{\alpha + \xi} \right)^{\sqrt{13}/2+1/2}. \end{aligned} \quad (5.13)$$

We determine Λ from the constraint (5.10) to be

$$\begin{aligned} \Lambda = & 6 \left[\int_0^1 1 - (\sqrt{13}-3) \frac{\alpha^{\sqrt{13}/2+1/2} - (1+\alpha)^{\sqrt{13}/2+1/2}}{\alpha^{\sqrt{13}} - (1+\alpha)^{\sqrt{13}}} (\alpha + \xi)^{\sqrt{13}/2+1/2} \right. \\ & \left. + \frac{4}{\sqrt{13}-3} \frac{\alpha^{\sqrt{13}/2-1/2} - (1+\alpha)^{\sqrt{13}/2-1(1/2)}}{\alpha^{\sqrt{13}} - (1+\alpha)^{\sqrt{13}}} \left(\frac{\alpha(1+\alpha)}{\alpha + \xi} \right)^{\sqrt{13}/2+1/2} d\xi \right]^{-1}. \end{aligned} \quad (5.14)$$

Realistic values for $\alpha = a/(b-a)$ can be obtained from *in vivo* measurements of the lumen radius (inner radius) a and total artery thickness $b-a$. For the descending thoracic aorta of healthy men around 51 years old, the mean lumen radius is 20 mm [27] and the mean thickness is 1.4 mm [28]. These estimates give $\alpha^{-1} \approx 0.07$, making it appropriate to expand φ' and Λ as a power series in α^{-1} , which gives

$$\varphi' = \frac{\Lambda}{2} \left[1 + (1-2\xi)\alpha^{-1} + \frac{1}{3}(9\xi^2 - 6\xi - 1)\alpha^{-2} \right] + O(\alpha^{-3}) \quad (5.15)$$

and

$$\Lambda = 2 + \frac{2}{3}\alpha^{-2} + O(\alpha^{-3}), \quad (5.16)$$

or simply

$$\varphi' = 1 + (1-2\xi)\alpha^{-1} + \xi(3\xi-2)\alpha^{-2} + O(\alpha^{-3}). \quad (5.17)$$

We then substitute φ' in equations (5.5), (5.6) and (5.7) to obtain

$$\sigma_{rr} = -P(1-\xi)(1-\xi\alpha^{-1} + \xi^2\alpha^{-2}) + O(\alpha^{-3}) \quad (5.18)$$

and

$$\sigma_{\theta\theta} = P\alpha(1 + \alpha^{-3}\xi^2(4\xi-3)) + O(\alpha^{-3}). \quad (5.19)$$

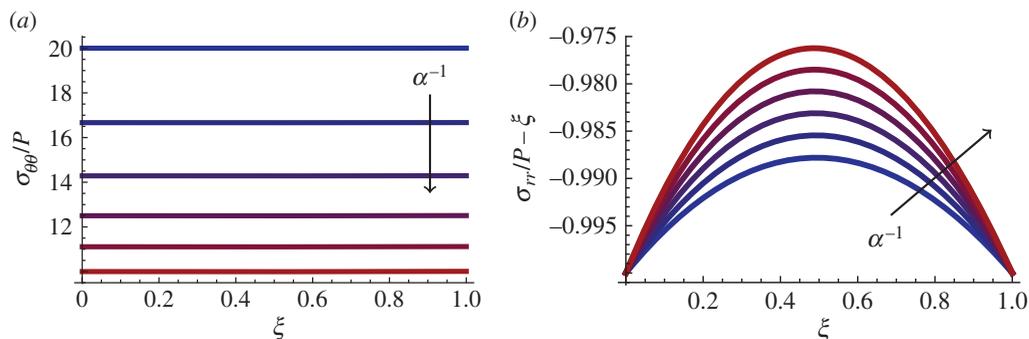


Figure 3. The plots of the dimensionless Cauchy stress against the dimensionless radius ξ , where the colour shades from blue to red as α^{-1} (the wall thickness divided by the inner radius) goes from 0.05 to 0.1. (Online version in colour.)

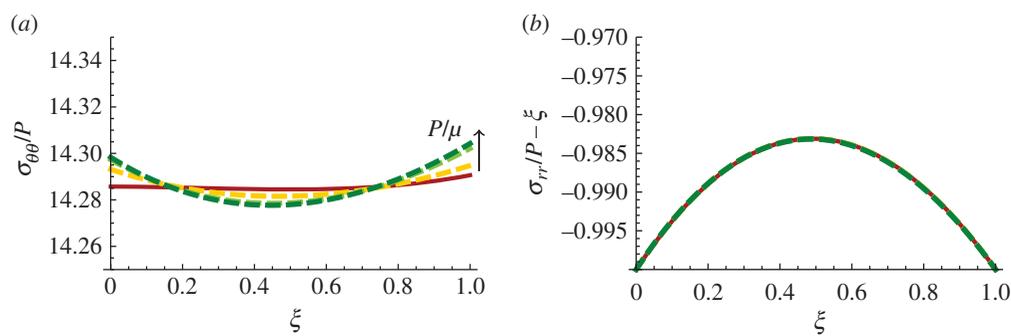


Figure 4. Graphs of the dimensionless Cauchy stress against the dimensionless radius ξ for the opening angle method (dashed curves) and the optimal stress equations (5.18) and (5.19) (red solid curves), for which we used parameters for the descending thoracic aorta: $a = 20.0$ mm, $b = 21.4$ mm, $\alpha^{-1} = 0.07$ and $\lambda_z = 1$. As the dashed curves shade from yellow to green (arrow), P/μ goes through the values 0.05, 0.20 and 0.35 with optimal opening angle $\phi = 65.9^\circ$, 204.2° and 261.7° ; stress-free reference inner radius 20.3, 25.2 and 31.1 mm; and stress-free reference outer radius 22.0, 27.8 and 34.4 mm, respectively. (Online version in colour.)

We plot the above Cauchy stress for α^{-1} from 0.05 to 0.10, which is within the physiological range, in figure 3. A graph for σ_{rr}/P would show all the curves bunched along the same straight line, so instead we have depicted the curves for $\sigma_{rr}/P - \xi$. Figure 3 reveals that, as the relative thickness of the arterial wall increases (shading from blue towards red), the circumferential stress $\sigma_{\theta\theta}$ decreases while the radial stress σ_{rr} increases and becomes less homogeneous.

A well-established method to quantify the residual stresses within arteries is the opening angle method [6]. For a neo-Hookean material (3.1), we will use the opening angle method to minimize the circumferential stress component

$$\frac{1}{b-a} \int_a^b \left(\frac{d\sigma_{\theta\theta}}{dr} \right)^2 dr, \quad (5.20)$$

in terms of the opening angle ϕ , restricted to the boundary conditions (5.3) and (5.4). The only two parameters with a unit of time and mass are P and μ , so by rewriting $P = P_0\mu$ the stress will not depend on μ . The results for $P_0 = 0.05$, $P_0 = 0.20$ and $P_0 = 0.35$ are compared with the optimal stress equations (5.18) and (5.19) with parameters for the descending thoracic aorta in figure 4. We see that $\sigma_{\theta\theta}$ for the opening angle method converges to the optimal stress $\sigma_{\theta\theta}$ as P/μ tends to zero, while the plots for σ_{rr} all overlap. Note however that σ_{zz} for the opening angle method is not necessarily homogeneous in r .

We can now invoke finite elasticity to derive the residual stress τ in the unloaded state necessary to sustain the optimal homogeneous Cauchy stress, and then compare our results with the residual stress predicted by the opening angle method. To do so, we let Ψ be a function of both stress and strain and use the ISS, in the form of equation (2.11), to determine τ as a function of σ and F .

(c) Residual stress

Here, we use finite elasticity to connect the current state with the unloaded state. Since the cylindrical symmetry of the artery is maintained when the internal pressure is removed, we describe the unloaded state with the cylindrical coordinates (R, Θ, Z) . Then the deformation gradient for the unit basis vectors $e_r, e_\theta, e_z, E_R, E_\Theta$ and E_Z becomes

$$F = \frac{\partial r}{\partial R} e_r \otimes E_R + \frac{r}{R} e_\theta \otimes E_\Theta + \lambda_z e_z \otimes E_Z, \quad (5.21)$$

where we let λ_z be a constant. Assuming the material is incompressible, we get

$$\det F = 1, \quad \text{or} \quad \frac{\partial r}{\partial R} \frac{r}{R} \lambda_z = 1. \quad (5.22)$$

Let the reference configuration be a hollow cylinder with inner and outer radius A and B , respectively, so that

$$r = \sqrt{(R^2 - A^2)\lambda_z^{-1} + a^2} \quad \text{and} \quad \frac{\partial r}{\partial R} = \frac{R}{r} \lambda_z^{-1}, \quad (5.23)$$

which we will use to replace $\partial r / \partial R$ wherever it appears. We will assume that the residual stress τ is homogeneous in Θ and Z , as the deformation, the Cauchy stress from equations (5.18) and (5.19), and the boundary conditions (5.25) and (5.26) are all homogeneous in Θ and Z .

The equilibrium equations now reduce to

$$\tau_{\Theta\Theta} = (R\tau_{RR})' \quad \text{for } A < R < B, \quad (5.24)$$

with the zero-traction boundary conditions

$$\tau_{RR} = 0 \quad \text{for } R = A \quad (5.25)$$

and

$$\tau_{RR} = 0 \quad \text{for } R = B. \quad (5.26)$$

After making a constitutive choice for Ψ , the residual stresses τ_{RR} and $\tau_{\Theta\Theta}$ will be completely determined from F , σ and p_τ due to ISS (2.11).

(i) Ψ independent of I_2, J_2, J_3 and J_4

To simplify, we assume Ψ independent of I_2, J_2, J_3 and J_4 , so the Cauchy stress (2.6) becomes

$$\sigma = 2\Psi_{I_1} FF^T - pI + 2\Psi_{J_1} F\tau F^T, \quad (5.27)$$

and, from ISS (2.12), we can swap τ , F and p with σ , F^{-1} and p_τ , respectively, to get

$$\tau = 2\Psi_{I_1}^\sigma F^{-1} F^{-T} - p_\tau I + 2\Psi_{J_1}^\sigma F^{-1} \sigma F^{-T}. \quad (5.28)$$

Substituting F from equation (5.21) into the above equation, we arrive at

$$\tau_{RR} = 2\lambda_z^2 \frac{r^2}{R^2} (\Psi_{I_1}^\sigma + \Psi_{J_1}^\sigma \sigma_{rr}) - p_\tau \quad (5.29)$$

and

$$\tau_{\Theta\Theta} = 2 \frac{R^2}{r^2} (\Psi_{I_1}^\sigma + \Psi_{J_1}^\sigma \sigma_{\theta\theta}) - p_\tau. \quad (5.30)$$

We remark that τ_{ZZ} has not been derived since we have not taken into consideration σ_{zz} in §5b.

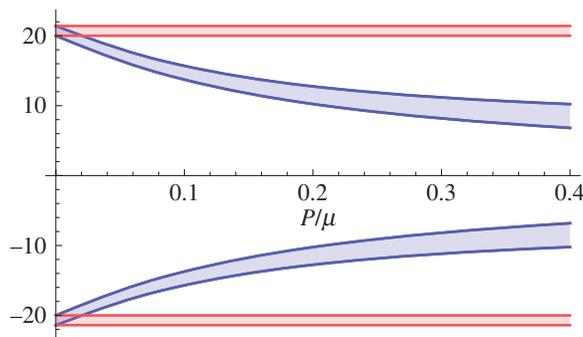


Figure 5. The loaded artery wall is illustrated by the red horizontal lines, while the unloaded artery wall is illustrated by the blue curves. The y -axis gives the position of the loaded and unloaded walls measured from the centre of the artery. The stress in the loaded geometry is taken to be the optimal Cauchy stress (5.18) and (5.19), and we assume a residually stressed neo-Hookean material (3.20). (Online version in colour.)

To compare unloaded arteries of different sizes, we write r and R in terms of the dimensionless radius ξ ,

$$r = (b - a)\xi + a \quad \text{and} \quad R = \sqrt{A^2 + \lambda_z[(a + (b - a)\xi)^2 - a^2]}, \quad (5.31)$$

which we use to rewrite the ordinary differential equation (5.24) in the form

$$R \frac{d\tau_{RR}}{dR} + \tau_{RR} - \tau_{\theta\theta} = R \frac{d\tau_{RR}}{d\xi} \frac{d\xi}{dR} + \tau_{RR} - \tau_{\theta\theta} = \frac{d\tau_{RR}}{d\xi} R \left(\frac{dR}{d\xi} \right)^{-1} + \tau_{RR} - \tau_{\theta\theta} = 0, \quad (5.32)$$

which implies that

$$\frac{d\tau_{RR}}{d\xi} + \frac{1}{R} \frac{dR}{d\xi} (\tau_{RR} - \tau_{\theta\theta}) = 0. \quad (5.33)$$

Integrating both sides in ξ and using the unloaded boundary condition (5.25) and (5.26), we reach

$$\tau_{RR} = \int_0^R \frac{\tau_{\theta\theta} - \tau_{RR}}{R} \frac{dR}{d\xi} d\xi = 0 \quad \text{and} \quad \int_0^1 \frac{\tau_{\theta\theta} - \tau_{RR}}{R} \frac{dR}{d\xi} d\xi = 0. \quad (5.34)$$

Equation (5.34)₂ is independent of p_r and can be used to solve for one of the parameters P , a , b , A , λ_z or μ (note that B depends on the other parameters because equation (5.23) evaluated at $R = B$ gives $B^2 = A^2 + \lambda_z(b^2 - a^2)$). The only two parameters with a unit of time or mass are P and μ , so by rewriting $P = P_0\mu$ equations (5.34) will not depend on μ .

To illustrate, we adopt the neo-Hookean material model (3.20), which results in $\Psi_1^\sigma = \frac{1}{2}$ and $2\Psi_1^\sigma = \dot{p}(\sigma)$, where \dot{p} is given by substituting τ for σ restricted to (r, θ) in \dot{p}_+ of equation (3.18).

To calculate the residual stress that supports the optimal Cauchy stress, we substitute $\sigma_{\theta\theta}$ and σ_{rr} from equations (5.18) and (5.19) into $\tau_{\theta\theta}$ and τ_{RR} in equations (5.29) and (5.30). We then use equation (5.34)₂ to determine the unloaded geometry from the loaded geometry. To illustrate, we take the parameters for the descending thoracic aorta, as used in the previous section, $a = 20$ mm, $b = 1.4$ mm and $\lambda_z = 1$. We can then determine the unloaded inner radius A for different values of P_0 , which is shown in figure 5. Surprisingly, the unloaded geometry given by the opening angle method is approximately the same as shown in figure 5.

We can also investigate the residual stress in the unloaded state. Once A is determined from equation (5.34)₂, we can use equation (5.34)₁ to determine τ_{RR} , and then $\tau_{\theta\theta}$ from equation (5.24). To compare with the opening angle method, we choose $P/\mu = 0.05, 0.20$ and 0.35 , which all give a reasonable unloaded geometry (figure 5). The results are shown in figure 6.

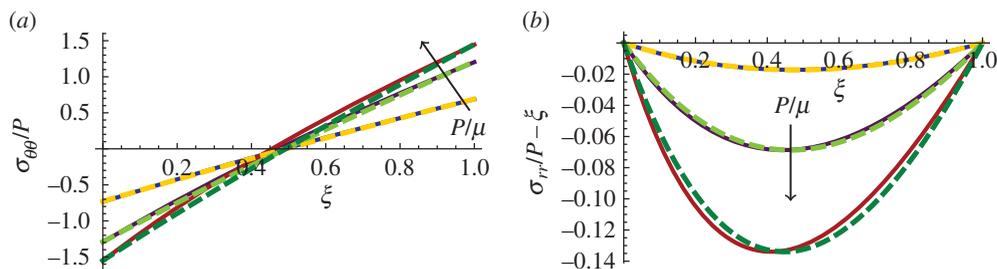


Figure 6. The dimensionless residual stress against the dimensionless radius ξ . The dashed curves are from the opening angle method and the solid curves are from equation (5.24) together with the optimal stress equations (5.18) and (5.19). As P/μ goes through the values 0.05, 0.20 and 0.35 (arrows), the dashed curves shade from yellow to green and the solid curves shade from blue to red. The unloaded geometry for both methods is approximately the same as shown in figure 5. The other parameters are $a = 20.0$ mm, $b = 21.4$ mm, $\alpha^{-1} = 0.07$ and $\lambda_z = 1$. (Online version in colour.)

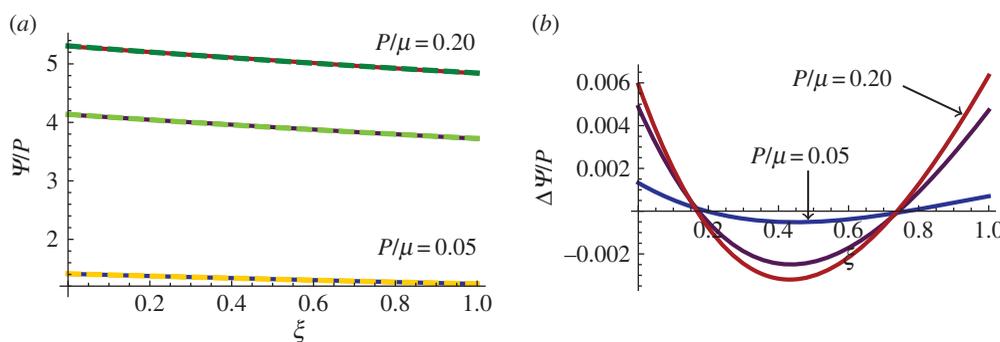


Figure 7. (a) Comparison of the dimensionless strain energy density Ψ/P versus the dimensionless radius ξ resulting from the opening angle method, yellow (green) dashed curves, with the model (3.20) whose residual stress maintains the optimal stress equations (5.18) and (5.19), the red (blue) solid curves. The parameters used are given in figure 6. (b) Ψ/P from the opening angle method minus Ψ/P from the model (3.20), which we denote as $\Delta\Psi/P$. (Online version in colour.)

Biological tissues are very energy efficient. It is a common line of reasoning that biological systems adapt so as to minimize their potential energy. So it is possible that biological materials remodel their residual stress to lower their potential energy. Figure 7a shows the free energy density Ψ for the opening angle method and for the model (3.20) with the optimal stress equations (5.18) and (5.19). In all cases, Ψ is approximately a straight line that decreases as ξ increases away from the pressured boundary $\xi = 0$ ($r = a$). Figure 7b shows the difference between Ψ/P from the opening angle method minus Ψ/P from the model (3.20), which we denote as $\Delta\Psi/P$. The integral of $\Delta\Psi/P$ over $\xi \in [0, 1]$ is positive if the opening angle method has on average a larger free energy density than the model (3.20), with the optimal stress equations (5.18) and (5.19). We found that as P/μ increased, so did the total free energy in the cylinder cross-section $\int_0^1 \Delta\Psi/P(b-a)(\xi(b-a)+a) d\xi$; for instance, this integral evaluates to -1.6410^{-4} for $P/\mu = 0.05$, 2.3710^{-3} for $P/\mu = 0.20$, and 4.2510^{-3} for $P/\mu = 0.35$.

Essentially, we can see from figures 4 and 7 that the optimal stress equations (5.18) and (5.19) with the neo-Hookean material (3.20) produce a more homogeneous stress and a lower free energy than the opening angle method, though the two methods gave very similar results. The advantage in using the ISS (2.12) for a homeostasis hypothesis for the Cauchy stress σ is twofold. First, we have the freedom to choose σ so as to satisfy the homeostasis hypothesis, which with ISS becomes a separate step from choosing a constitutive equation. Second, it can be relatively straightforward to use ISS (2.12) to quantify the residual stress needed to support the chosen σ .

6. Conclusion

In order to quantify the initial stress within a solid using non-destructive experimental techniques, it is advantageous to write the free energy density in terms of the deformation gradient F and the initial stress τ as $\Psi = \Psi(F, \tau)$.

In this article, we presented a new constitutive condition, the initial stress symmetry (ISS), that aids in proposing suitable constitutive relations for $\Psi = \Psi(F, \tau)$ by providing nine scalar equations (equations (4.4) and (4.5)). One immediate result is that guessing a functional dependence for $\Psi = \Psi(F, \tau)$ is not a trivial task. In fact all choices for $\Psi(F, \tau)$ used in the literature so far do not satisfy ISS. Conversely, using ISS, we proposed two simple choices for $\Psi = \Psi(F, \tau)$, one incompressible equation (3.11) and one compressible equation (4.13), which can be viewed as initially stressed neo-Hookean materials.

One consequence of ISS is that the initial stress can be derived from the Cauchy stress. Furthermore, ISS suggests that it is possible to first choose the Cauchy stress, and second to make a constitutive choice and then use equation (2.11) to determine the corresponding initial stress. We illustrated this method in §5 for a simplified arterial wall model, where we chose the ideal Cauchy stress by using a minimal stress gradient hypothesis as the homeostatic condition, and then we calculated the optimal residual stresses of the unloaded remodelled artery. It is reasonable that other tissues with smooth muscle cells may also remodel to minimize their stress gradient. This means that the approach we developed for the arterial wall could be used to help test this hypothesis for other tissues, such as those found in the heart.

Since initial stresses are widespread in both inert and living matter, the proposed constitutive restriction can be used in many applications towards a non-destructive quantification of initial tensions in solids. Future research includes determining a wider class of material behaviours, e.g. taking into account natural material anisotropy, and linking the initial stress to elastic wave speeds.

Data accessibility. The material supporting this article has been uploaded as part of the electronic supplementary material.

Authors' contributions. All the ideas and the mathematics were developed by all three authors. P.C. and M.D. edited and revised the manuscript and the electronic supplementary material. A.L.G. wrote the first drafts, generated the figures and drafted the electronic supplementary material. All authors gave final approval for publication.

Competing interests. We have no competing interests.

Funding. Partial funding by the Irish Research Council, the Royal Society, the Hardiman Scholarship Programme at the National University of Ireland Galway and Regione Lombardia, through the 'Start-up Packages and PhD Program' project, is gratefully acknowledged.

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