

MA204/MA284 : Discrete Mathematics

### Week 5: Stars and Bars

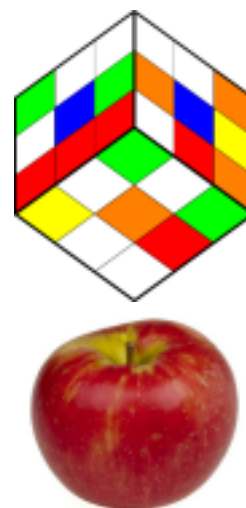
<http://www.maths.nuigalway.ie/~niall/MA284/>

4 and 6 October, 2017

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  - Multisets
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These slides are based on Sections 1.4 and 1.5 of Oscar Levin's *Discrete Mathematics: an open introduction*.

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## Problems with 'NNI' solutions

(11/23)

### A non-negative integer problem

How many non-negative integer solutions are there to the problem

$$x_1 + x_2 + \cdots + x_k = n?$$

### This is the same as...

How many ways are there to distribute  $n$  identical objects among  $k$  individuals.

The answer is  $\binom{n+k-1}{k-1} = \frac{(n+k-1)!}{n!(k-1)!} = \binom{n+k-1}{n}$

That is  $x_1$  is the number of objects given to Person 1,  
 $x_2$  is the number given to Person 2,  
etc.

### Example (Part 1)

1. How many non-negative integer solutions are there to  $x_1 + x_2 + x_3 = 3$ ?

note that  $x_1 = 4, x_2 = 1$  &  $x_3 = -2$  is not a solution.

Neither is  $x_1 = \frac{1}{2}, x_2 = 2, x_3 = \frac{1}{2}$   $\leftarrow$  not ints

Solutions are

$x_1$	0	0	0	0	1	1	1	2	2	3
$x_2$	0	1	2	3	0	1	2	0	1	0
$x_3$	3	2	1	0	2	1	0	1	0	0

There are 10 solutions in total.

here  $n=3,$   
 $k=3$

So there are

$$\binom{5}{2} = \frac{5!}{2!3!}$$

= 10 solutions.

**Example (Part 1)**

1. How many non-negative integer solutions are there to  $x_1 + x_2 + x_3 = 3$ ?

(i) Solutions are

$x_1$	0	0	0	0	1	1	1	2	2	3
$x_2$	0	1	2	3	0	1	2	0	1	0
$x_3$	3	2	1	0	2	1	0	1	0	0

In "stars & bars"

(i)  $x_1 = 0, x_2 = 0, x_3 = 3$  is  $\begin{array}{c} | | * * * \\ * | | * * \end{array}$

(v)  $x_1 = 1, x_2 = 0, x_3 = 2$  is  $\begin{array}{c} | | * * * \\ * | | * * \end{array}$

**Example (Part 2)**

2. How many non-negative integer solutions are there to  $x_1 + x_2 \leq 3$ ?

we list all the solutions

$x_1$	0	0	0	0	1	1	1	2	2	3	<del>3</del>
$x_2$	0	1	2	3	0	1	2	0	1	0	

There are 10 possible solutions.

This is exactly the same as for  $x_1 + x_2 + x_3 = 3$  but with "an invisible  $x_3$ ".

Looking at this example, it seems that

The number of non-negative integer solutions to

$$(1) \quad x_1 + x_2 + x_3 + \cdots + x_k + x_{k+1} = n.$$

is the same as the number of non-negative integer solutions to

$$(2) \quad x_1 + x_2 + x_3 + \cdots + x_k \leq n,$$

which is the same as the number of non-negative integer solutions to

$$(3) \quad x_1 + x_2 + x_3 + \cdots + x_k < n + 1,$$

Why is that?

If we have a solution to (1) then subtract  $k+1$  from both sides. The LHS becomes  $x_1 + x_2 + \cdots + x_k$  & the RHS becomes  $\leq n$  since  $k+1 \geq 0$ .

Looking at this example, it seems that

The number of non-negative integer solutions to

$$(1) \quad x_1 + x_2 + x_3 + \cdots + x_k + x_{k+1} = n.$$

is the same as the number of non-negative integer solutions to

$$(2) \quad x_1 + x_2 + x_3 + \cdots + x_k \leq n,$$

which is the same as the number of non-negative integer solutions to

$$(3) \quad x_1 + x_2 + x_3 + \cdots + x_k < n + 1,$$

Exer: show  
(2)  $\Leftrightarrow$  (3)

Why is that?

Similarly, suppose we have a solution to (2).  
Then set  $x_{k+1} = n - x_1 - x_2 - \cdots - x_k$ . Note that  $x_{k+1} \geq 0$ . Then add to both sides of (2) to get a solution to (1).

**Example (MA284, Semester 1 Exam, 2015/16, Q5(b))**

(i) How many non-negative integer solutions are there of the equation

$$x_1 + x_2 + x_3 + x_4 + x_5 = 13$$

(ii) How many non-negative integer solutions are there of the inequality

$$x_1 + x_2 + x_3 + x_4 + x_5 \leq 13$$

(i) This is the same as distributing  $n=13$  objects among  $k=5$ . So there are  $\binom{n+k-1}{k-1} = \binom{17}{4} = 2380$ .

(ii) This is the same as  $x_1 + x_2 + \dots + x_5 + x_6 = 13$   
Here  $n=13$ ,  $k=6$ , so there are  $\binom{18}{5} = 8568$



In the next section, we will study counting the number of non-negative integer solutions to problems, where there is a upper bound on solutions.

To lead into that, here is a variant on a problem from earlier.

### Example

- (a) In how many ways can one distribute **eight** €1 coins to **three** students so that each student receives **at least** €1?
- (b) In how many ways can one distribute **eight** €1 coins to **three** students so that each student receives **at most** €3?

(a) First give €1 to each, and give the remaining 5 to them in  $\binom{5+3-1}{3-1} = \binom{7}{2} = 21$ .

(b) We could count all solutions to  $x_1 + x_2 + x_3 = 8$  and then count all solutions with  $x_1 > 3$ ,  $x_2 > 3$  &  $x_3 > 3$ , and then subtract that. Need PIE.

Recall that if we have sets  $A$  and  $B$ , then

- $|A|$  and  $|B|$  denote the number of elements in  $A$  and  $B$ , respectively.
- The *union of  $A$  and  $B$*  is the set of all elements in either  $A$  or  $B$ . We write it as  $A \cup B$ .
- The *intersection of  $A$  and  $B$*  is the set of all elements found in *both*  $A$  and  $B$ . We write it as  $A \cap B$ .

The *Principle of Inclusion/Exclusion (PIE)* for two sets,  $A$  and  $B$ ,

$$|A \cup B| = |A| + |B| - |A \cap B|.$$

**Example:**  $A = \{n, u, i, g\}$      $B = \{g, m, i, t\}$

$$A \cup B = \{g, i, m, n, t, u\} \quad |A \cup B| = 6$$

$$A \cap B = \{g, i\} \quad |A \cap B| = 2 \quad \text{so}$$

$$|A \cup B| = 4 + 4 - 2 = 6 \quad \checkmark$$